#### Chapter 1

#### Introduction

- 1.1 What is an operating system
- 1.2 History of operating systems
- 1.3 The operating system zoo
- 1.4 Computer hardware review
- 1.5 Operating system concepts
- 1.6 System calls
- 1.7 Operating system structure

#### Introduction

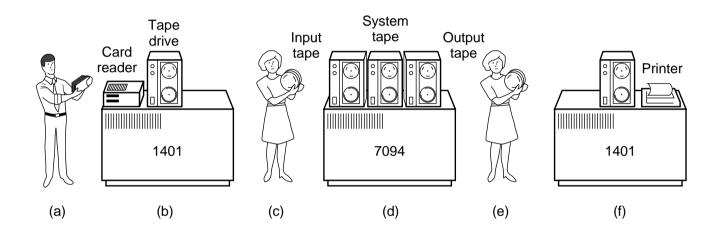
Banking system	Airline reservation	Web browser	Application programs
Compilers	Editors	Command interpreter	System
O	perating syste	programs	
Ma	achine langua		
М	icroarchitectu	Hardware	
Р	hysical device		

- A computer system consists of
  - hardware
  - large system programs
  - large application programs

#### What is an Operating System

- It is an extended machines
  - Hides the messy details which must be performed
  - Presents user with a virtual machine, easier to use
- It is a resource manager
  - Presents user with a virtual machine, easier to use
  - Each program gets space on the resource

#### History of Operating Systems (1)



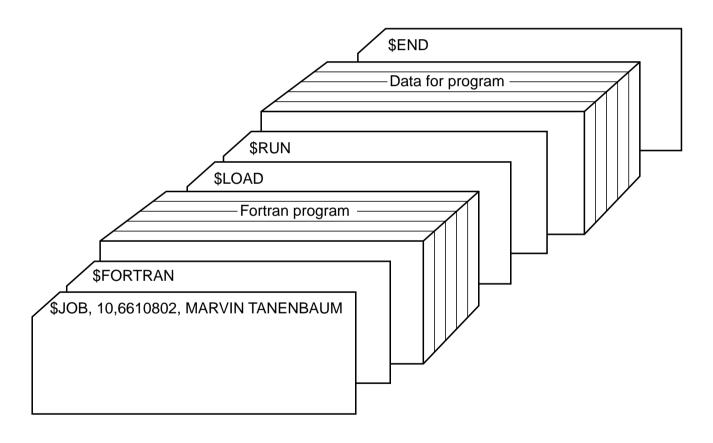
#### • Early batch system

- bring cards to 1401
- read cards to tape
- put tape on 7094 which does computing
- put tape on 1401 which prints output

#### History of Operating Systems (2)

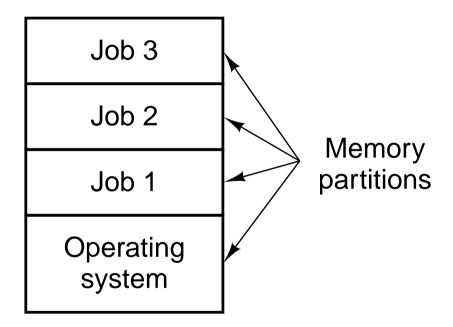
- First generation 1945 1955
  - vacuum tubes, plug boards
- Second generation 1955 1965
  - transistors, batch systems
- Third generation 1965 1980
  - ICs and multiprogramming
- Fourth generation 1980 present
  - personal computers

## History of Operating Systems (3)



• Structure of a typical FMS job - 2nd generation

## History of Operating Systems (4)

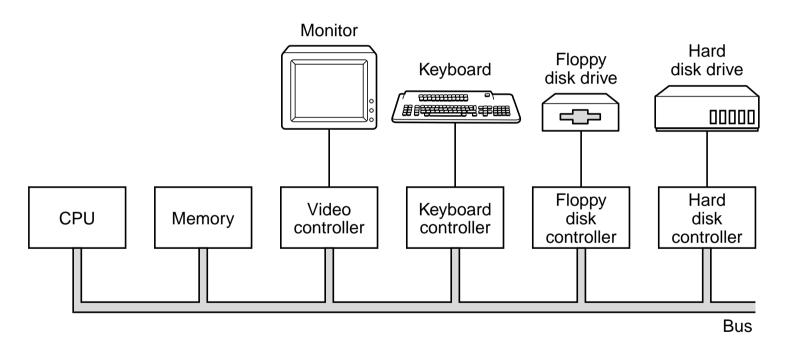


- Multiprogramming system
  - three jobs in memory 3rd generation

#### The Operating System Zoo

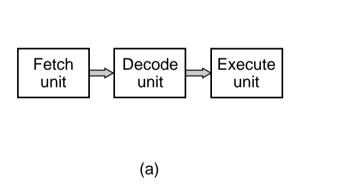
- Mainframe operating systems
- Server operating systems
- Multiprocessor operating systems
- Personal computer operating systems
- Real-time operating systems
- Embedded operating systems
- Smart card operating systemstems

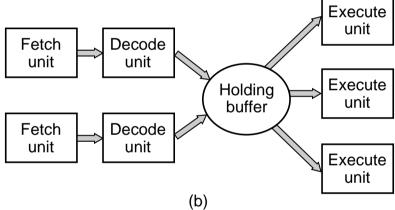
#### Computer Hardware Review (1)



• Components of a simple personal computer

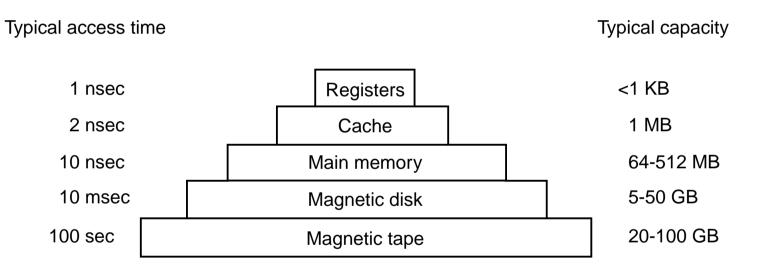
## Computer Hardware Review (2)





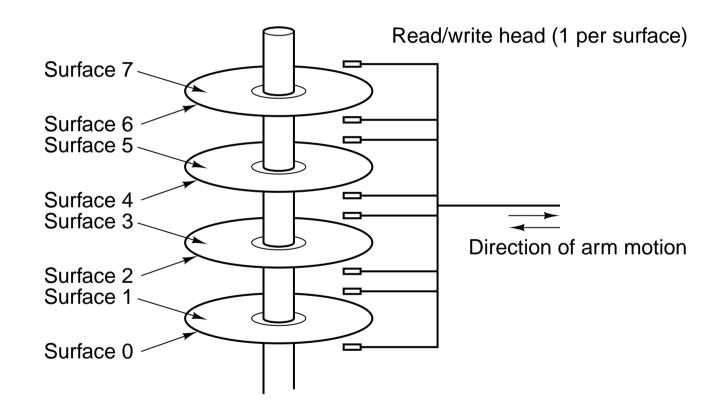
- (a) A three-stage pipeline
- (b) A superscalar CPU

#### Computer Hardware Review (3)



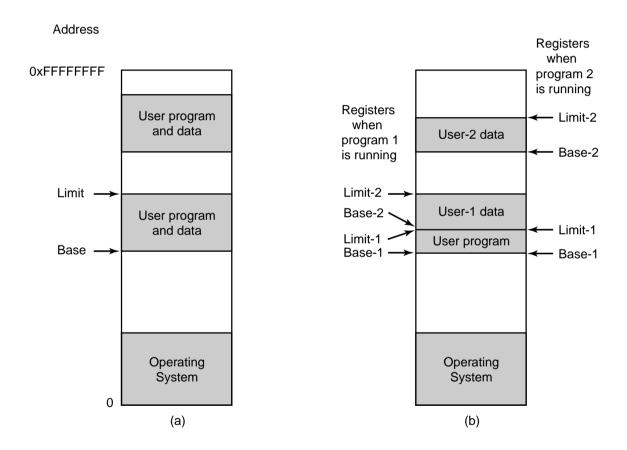
- Typical memory hierarchy
  - numbers shown are rough approximations

#### Computer Hardware Review (4)



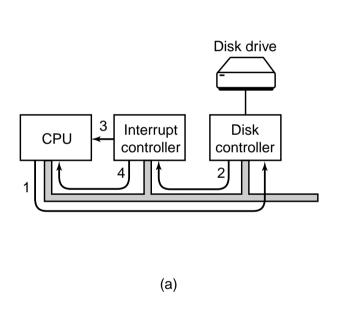
Structure of disk drive

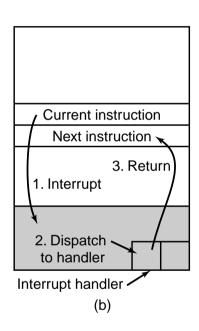
### Computer Hardware Review (5)



One base-limit pair and two base-limit pairs

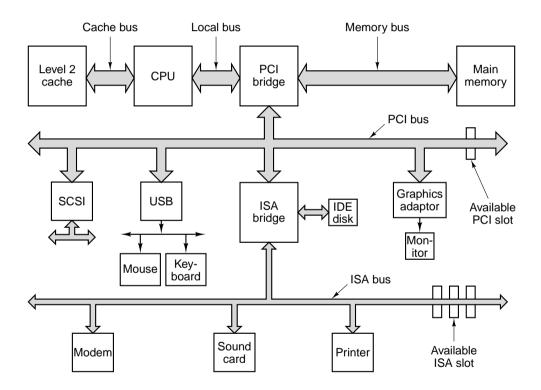
#### Computer Hardware Review (6)





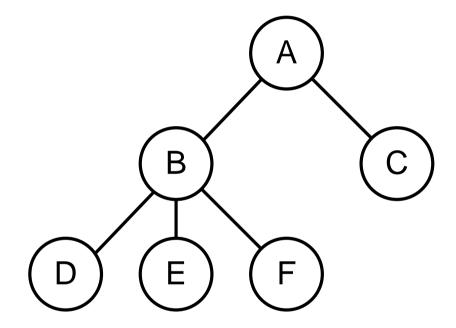
- (a) Steps in starting an I/O device and getting interrupt
- (b) How the CPU is interrupted

## Computer Hardware Review (7)



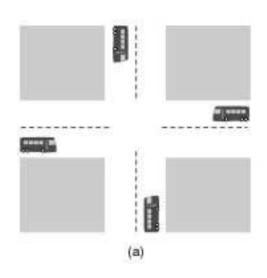
Structure of a large Pentium system

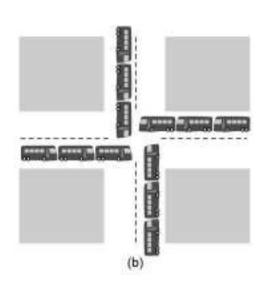
#### Operating System Concepts (1)



- A process tree
  - A created two child processes,B and C
  - B created three child processes, D, E, and F

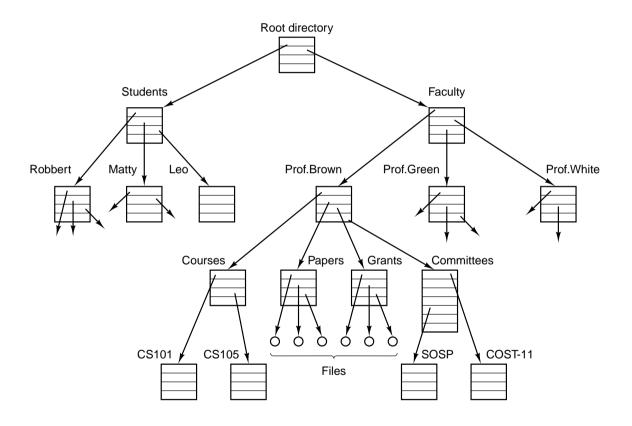
## Operating System Concepts (2)





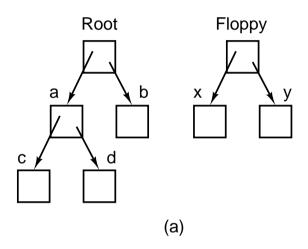
- (a) A potential deadlock
- (b) An actual deadlock

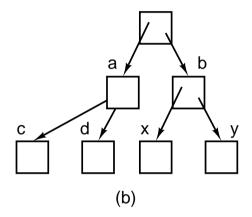
## Operating System Concepts (3)



File system for a university department

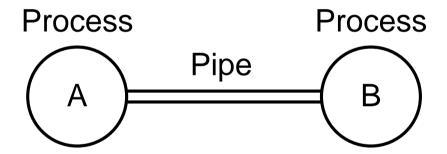
#### Operating System Concepts (4)





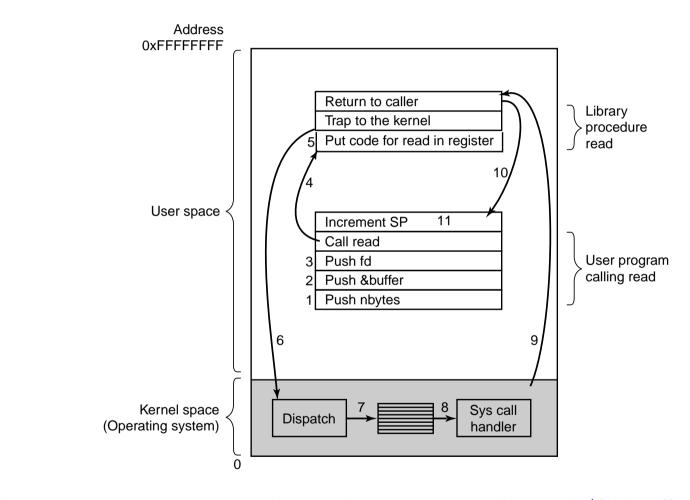
- Before mounting
  - files on floppy are inaccessible
- After mounting floppy on b
  - files on floppy are part of file hierarchy

## Operating System Concepts (5)



Two processes connected by a pipe

### Steps in Making a System Call



There are 11 steps in making the system call read (fd,buffer,nbytes)

# Some System Calls (1)

Process management

Call	Description			
pid = fork()	Create a child process identical to the parent			
pid = waitpid(pid, &statloc, options)	Wait for a child to terminate			
s = execve(name, argv, environp)	Replace a process' core image			
exit(status)	Terminate process execution and return status			

File management

Call	Description			
fd = open(file, how,)	Open a file for reading, writing or both			
s = close(fd)	Close an open file			
n = read(fd, buffer, nbytes)	Read data from a file into a buffer			
n = write(fd, buffer, nbytes)	Write data from a buffer into a file			
position = lseek(fd, offset, whence)	Move the file pointer			
s = stat(name, &buf)	Get a file's status information			

# Some System Calls For File Management (2)

#### Directory and file system management

Call	Description Create a new directory			
s = mkdir(name, mode)				
s = rmdir(name)	Remove an empty directory			
s = link(name1, name2)	Create a new entry, name2, pointing to name			
s = unlink(name)	Remove a directory entry			
s = mount(special, name, flag)	Mount a file system			
s = umount(special)	Unmount a file system			

#### Miscellaneous

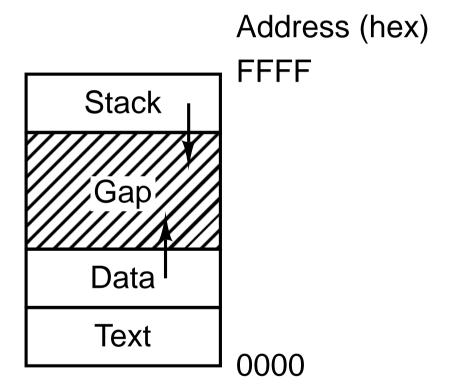
Call	Description		
s = chdir(dirname)	Change the working directory		
s = chmod(name, mode)	Change a file's protection bits		
s = kill(pid, signal)	Send a signal to a process		
seconds = time(&seconds)	Get the elapsed time since Jan. 1, 1970		

## System Calls(1)

• A stripped down shell:

```
#define TRUE 1
while (TRUE) {
                                                /* repeat forever */
    type_prompt();
                                               /* display prompt on the screen */
                                               /* read input from terminal */
    read_command(command, parameters);
    if (fork()!= 0) {
                                               /* fork off child process */
        /* Parent code. */
                                               /* wait for child to exit */
        waitpid(-1, &status, 0);
    } else {
        /* Child code. */
                                             /* execute command */
        execve(command, parameters, 0);
```

## System Calls(2)



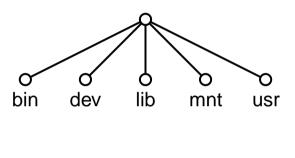
• Processes have three segments:text, data, stack

## System Calls(3)

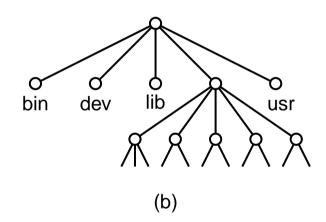
/usr/ast		/usr/jim			/\	/usr/ast			/usr/jim				
	16 81 40	mail games test		31 70 59 38	bin memo f.c. prog1			16 81 40 70	mail games test note		31 70 59 38	bin memo f.c. prog1	
			(a)							(b)			

- (a) Two directories before linking /usr/jim/memo to ast's directory
- (b) The same directories after linking

## System Calls(4)



(a)



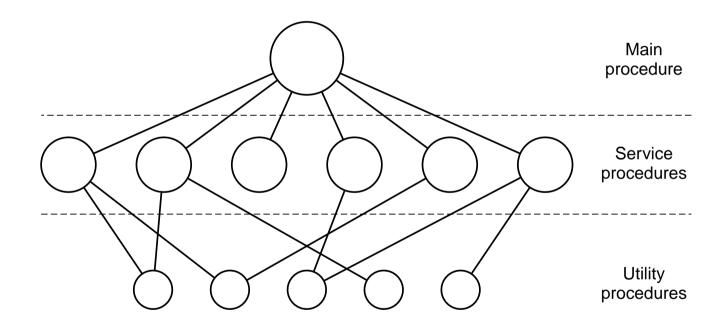
- (a) File system before the mount
- (b) File system after the mount

# System Calls(5)

UNIX	Win32	Description
fork	CreateProcess	Create a new process
waitpid	WaitForSingleObject	Can wait for a process to exit
execve	(none)	CreateProcess = fork + execve
exit	ExitProcess	Terminate execution
open	CreateFile	Create a file or open an existing file
close	CloseHandle	Close a file
read	ReadFile	Read data from a file
write	WriteFile	Write data to a file
Iseek	SetFilePointer	Move the file pointer
stat	GetFileAttributesEx	Get various file attributes
mkdir	CreateDirectory	Create a new directory
rmdir	RemoveDirectory	Remove an empty directory
link	(none)	Win32 does not support links
unlink	DeleteFile	Destroy an existing file
mount	(none)	Win32 does not support mount
umount	(none)	Win32 does not support mount
chdir	SetCurrentDirectory	Change the current working directory
chmod	(none)	Win32 does not support security (although NT does)
kill	(none)	Win32 does not support signals
time	GetLocalTime	Get the current time

#### Some Win32 API calls

## Operating System Structure(1)



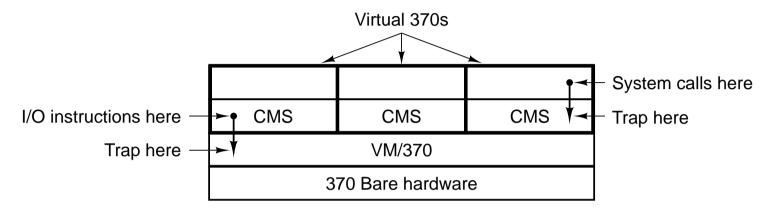
Simple structuring model for a monolithic system

## Operating System Structure(2)

Layer	Function
5	The operator
4	User programs
3	Input/output management
2	Operator-process communication
1	Memory and drum management
0	Processor allocation and multiprogramming

Structure of the THE operating system

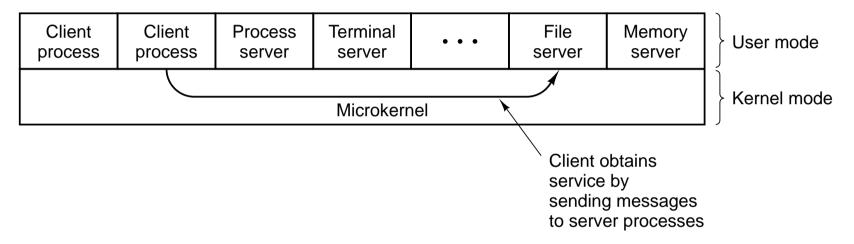
## Operating System Structure(3)



Structure of VM/370 with CMS(Conversational Monitor System)

- For time sharing system
- Virtual 370s
  - Separation of multiprograming
  - Exact copies of 370 HW
- VM/370
  - Extended machine

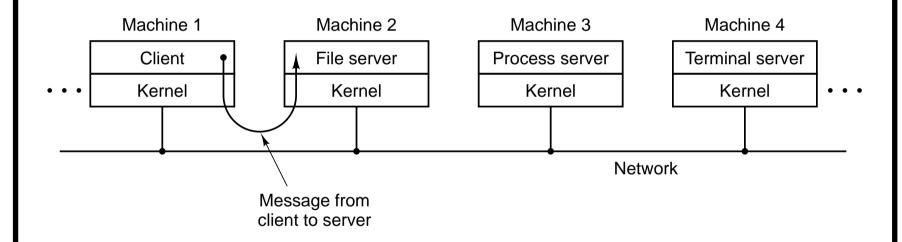
## operating System Structure(4)



The client-server model

- Minimum kernel part
  - Verification work smaller
  - Destribution (Next slide)

## Operating System Structure(5)



The client-server model in a distributed system

#### Metric Units

Exp.	Explicit	Prefix	Exp.	Explicit	Prefix
$10^{-3}$	0.001	milli	$10^{3}$	1,000	Kilo
$10^{-6}$	0.000001	micro	$10^{6}$	1,000,000	Mega
10-9	0.000000001	nano	10 <sup>9</sup>	1,000,000,000	$_{ m Giga}$
$10^{-12}$	0.000000000001	pico	$10^{12}$	1,000,000,000,000	Tera
$10^{-15}$	0.000000000000001	femto	$10^{15}$	1,000,000,000,000,000	Peta
$10^{-18}$	0.00000000000000000001	atto	10 <sup>18</sup>	1,000,000,000,000,000,000	Exa
$10^{-21}$	(omitted)	zepto	$10^{21}$	(omitted)	Zetta
$10^{-24}$	(omitted)	yocto	$10^{24}$	(omitted)	Yotta

The metric prefixes