

# Algorithms and Data Structures

## 7th Lecture: Tree

Yutaka Watanobe, Jie Huang, Yan Pei, Wenxi Chen,  
S. Semba, Deepika Saxena, Yinghu Zhou, Akila Siriweera

University of Aizu

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# Outline

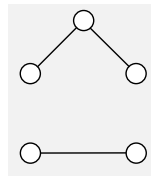
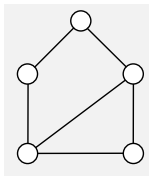
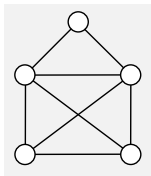
- Trees
- Rooted Trees
- Ordered Trees
- Binary Trees
- Tree Walk

# Trees (1)

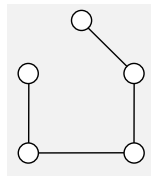
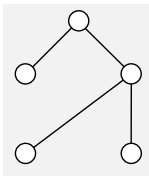
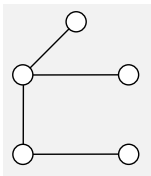
- A tree is a connected, acyclic, undirected graph.
- Let  $G = (V, E)$  be an undirected graph. The following statements are equivalent.
  - 1  $G$  is a free tree.
  - 2 Any two vertices in  $G$  are connected by a unique simple path.
  - 3  $G$  is connected, but if any edge is removed from  $E$ , the resulting graph is disconnected.
  - 4  $G$  is connected, and  $|E| = |V| - 1$ .
  - 5  $G$  is acyclic, and  $|E| = |V| - 1$ .
  - 6  $G$  is acyclic, but if any edge is added to  $E$ , the resulting graph contains a cycle.

# Trees (2)

## Graphs



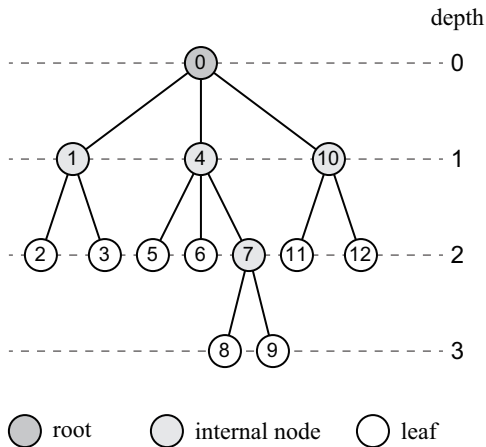
## Trees



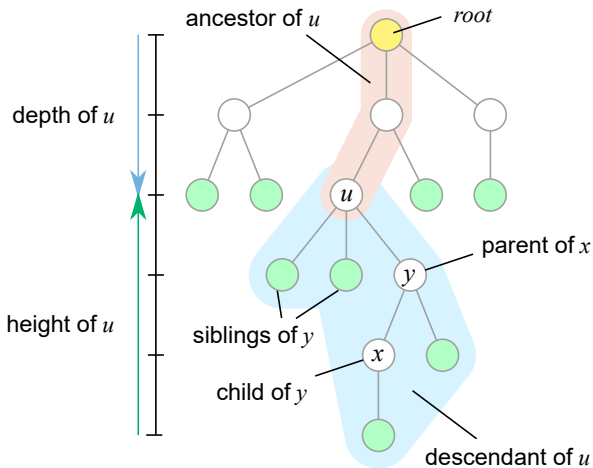
# Rooted Trees (1)

- A rooted tree is a tree in which one of the vertices is distinguished from the others.
- The distinguished vertex is called the **root** of the tree.
- We often refer to a vertex of a rooted tree as a **node** of the tree.

## Rooted Trees (2)



# Rooted Trees (3)



# Rooted Trees (4)

Consider a node  $x$  in a rooted tree  $T$  with root  $r$ .

- Any node  $y$  on the unique path from  $r$  to  $x$  is called an **ancestor** of  $x$ .
- If  $y$  is an ancestor of  $x$ , then  $x$  is a **descendant** of  $y$ .
- Every node is both an ancestor and a descendant of itself.
- If  $y$  is an ancestor of  $x$  and  $x$  is not equal to  $y$ , the  $y$  is a **proper ancestor** of  $x$  and  $x$  is a **proper descendant** of  $y$ .
- The **subtree** rooted at  $x$  is the tree induced by descendant of  $x$ , rooted at  $x$ .



# Rooted Trees (5)

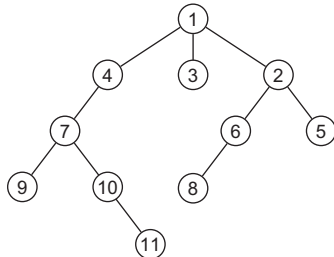
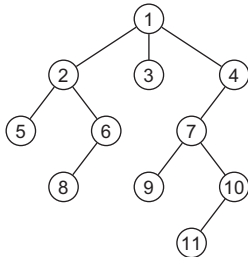
- If the last edge on the path from the root  $r$  of a tree  $T$  to a node  $x$  is  $(y, x)$ , then  $y$  is the **parent** of  $x$ , and  $x$  is a **child** of  $y$ .
- The **root** is the only element in  $T$  that has no parent.
- If two nodes have the same parent, they are **siblings**.
- A node with no children is an **external node** or **leaf**.
- A non-leaf node is an **internal node**.

# Rooted Trees (6)

- The number of children of a node  $x$  in a rooted tree  $T$  is called the **degree** of  $x$ .
- The length of the path from the root  $r$  to a node  $x$  is the **depth** of  $x$  in  $T$ .
- The **height** of a node in a tree is the number of edges on the longest simple downward path from the node to a leaf, and the **height of a tree** is the height of its root.
- The height of a tree is also equal to the largest depth of any node in the tree.

# Ordered Trees

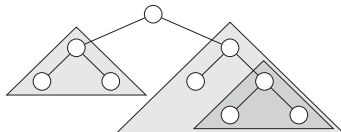
- An ordered tree is a rooted tree in which the children of each node are ordered. That is, if a node has  $k$  children, then there is a first child, a second child, ..., and a  $k$ -th child.
- The following trees are different when considered to be ordered trees, but the same when considered to be just rooted trees.



# Binary Trees (1)

Binary trees are defined recursively. A binary tree  $T$  is a structure defined on a finite set of nodes that either

- contains no nodes, or
- is composed of three disjoint sets of nodes: a **root** node, a binary tree called its **left subtree**, and a binary tree called its **right subtree**.



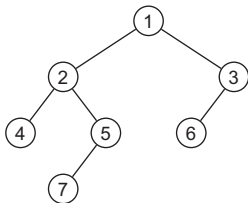
# Binary Trees (2)

- The binary tree that contains no nodes is called the **empty tree** or **null tree**, sometimes denoted **NIL**.
- If the left subtree is nonempty, its root is called the **left child** of the root of the entire tree.
- Likewise, the root of a nonnull right subtree is the **right child** of the root of the entire tree.
- If a subtree is the null tree **NIL**, we say that the child is **absent** or **missing**.

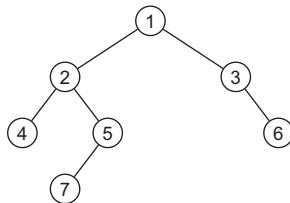
# Binary Trees (3)

- A binary tree is not simply an ordered tree in which each node has degree at most 2.
- For example, in a binary tree, if a node has just one child, the position of the child - whether it is the left child or the right child - matters.
- In an ordered tree, there is no distinguishing a sole child as being either left or right.

# Binary Trees (4)



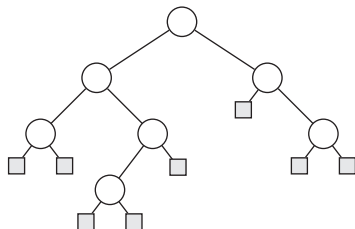
(a)



(b)

(b) is a binary tree different from the one in (a). In (a), the left child of node 3 is 6 and the right child is absent. In (b), the left child of node 3 is absent and the right child is 6. As ordered trees, these trees are the same, but as binary trees, they are distinct.

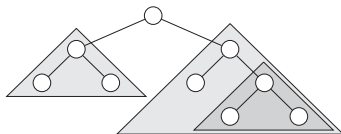
# Binary Trees (5)



This is the binary tree in (b) represented by the internal nodes of a full binary tree: an ordered tree in which each internal node has degree 2. The leaves in the tree are shown as squares.

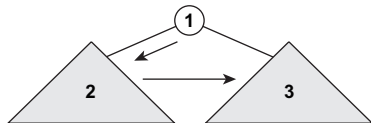
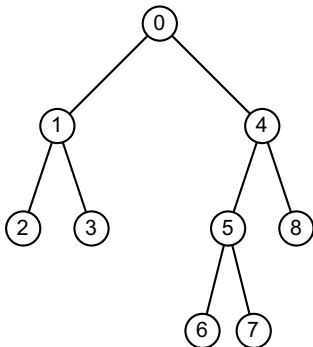


# Tree Walk



- A **preorder tree walk** prints the root before the values in either subtree.  
root  $\rightarrow$  left subtree  $\rightarrow$  right subtree
- An **inorder tree walk** prints the root of a subtree between its left subtree and right subtree.  
left subtree  $\rightarrow$  root  $\rightarrow$  right subtree
- A **postorder tree walk** prints the root after the values in its subtrees.  
left subtree  $\rightarrow$  right subtree  $\rightarrow$  root

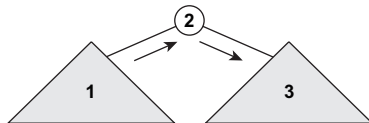
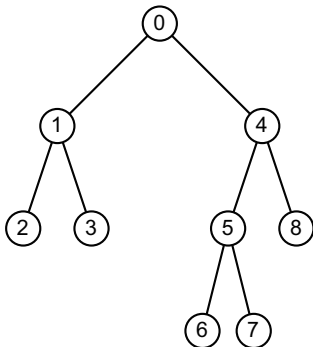
# Preorder Tree Walk



```
preParse(u)
  if u == NIL
    return
  print u
  preParse(T[u].left)
  preParse(T[u].right)
```

0 → 1 → 2 → 3 → 4 → 5 → 6 → 7 → 8

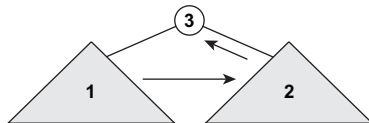
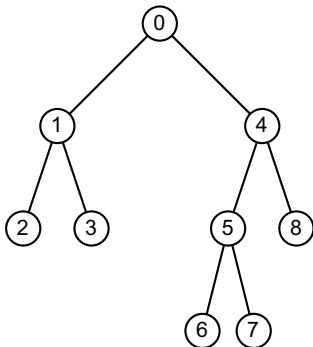
# Inorder Tree Walk



```
inParse(u)
  if u == NIL
    return
  inParse(T[u].left)
  print u
  inParse(T[u].right)
```

2 → 1 → 3 → 0 → 6 → 5 → 7 → 4 → 8

# Postorder Tree Walk



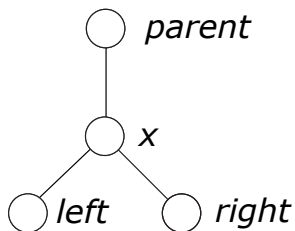
```
postParse(u)
  if u == NIL
    return
  postParse(T[u].left)
  postParse(T[u].right)
  print u
```

$2 \rightarrow 3 \rightarrow 1 \rightarrow 6 \rightarrow 7 \rightarrow 5 \rightarrow 8 \rightarrow 4 \rightarrow 0$

# Representing Binary Trees (1)

- We use the fields  $p$ ,  $left$ , and  $right$  to store pointers to the parent, left child, and right child of each node in a binary tree  $T$ .
- If  $p[x] = \text{NIL}$ , then  $x$  is the root.
- If node  $x$  has no left child, then  $left[x] = \text{NIL}$ , and similarly for the right child.
- The root of the entire tree  $T$  is pointed to by the attribute  $root$  of  $T$ . If  $root = \text{NIL}$ , then the tree is empty.

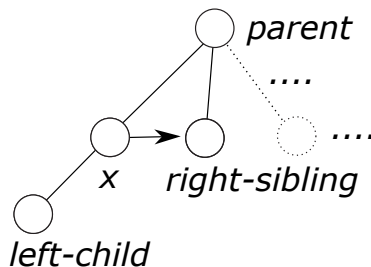
# Representing Binary Trees (2)



# Representing Rooted Trees (1)

- There is a clever scheme for using binary trees to represent trees with arbitrary numbers of children.
- It has the advantage of using only  $O(n)$  space for any  $n$ -node rooted tree.
- In **left-child, right-sibling representation**, each node contains a parent pointer  $p$ , and  $root$  points to the root of tree  $T$ .
- Instead of having a pointer to each of its children, each node  $x$  has only two pointers:
  - 1  $left-child[x]$  points to the leftmost child of node  $x$ , and
  - 2  $right-sibling[x]$  points to the sibling of  $x$  immediately to the right.
- If node  $x$  has no children, then  $left-child[x] = \text{NIL}$ , and if node  $x$  is the rightmost child of its parent, then  $right-sibling[x] = \text{NIL}$ .

## Representing Rooted Trees (2)





# Reference

- 1 Introduction to Algorithms (third edition), Thomas H.Cormen, Charles E. Leiserson, Ronald L. Rivest, and Clifford Stein. The MIT Press, 2012.