Statutes on the University of Aizu, the Public University Corporation

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ADDITIONAL PROVISIONS

CHAPTER ONE
GENERAL PROVISIONS

Article One
(Objective)

1.1 The objective of the University of Aizu, Public University Corporation shall be promotion of conducting distinctive education and research and nurturing of creative human resources, ongoing contribution to the advancement of academia and scientific technology as well as to industry and culture of Fukushima Prefecture, creation of new cultures, and contribution to the peace and prosperity of humanity by establishing and managing a university based on the Law on Local Independent Administrative Corporations (Law No. 118, 2003, for the purpose of this statue, hereinafter referred to as the "LAW").

Article Two
(Name)

2.1 The name of this Public University Corporation shall be the University of Aizu, Public University Corporation (for the purpose of this statue, hereinafter referred to as "CORPORATION").

Article Three
(Establishment of the University)

3.1 The names and locations of the Universities (hereinafter collectively referred to as "UNIVERSITY." However, this shall not apply to Item Six, Paragraph One in Article Sixteen, Item Four, Paragraph Two in Article Seventeen, and Item Five, Paragraph Two in Article Eighteen) that the CORPORATION shall establish for the purpose of achieving the objectives provided for in Article One above shall be as follows.

Name	Location
The University of Aizu	Aza Kami-Iawase 90, O-Aza Tsuruga, Ikki-Machi, Aizu-Wakamatsu, Fukushima Prefecture
The University of Aizu, Junior College Division	Aza Monden 1-1, O-Aza Yahata, Ikki-Machi, Aizu-Wakamatsu, Fukushima Prefecture

Article Four
(Founding Organization)

4.1 The Government of Fukushima Prefecture shall be the founding organization of the CORPORATION.

Article Five
(Location of the Office)

5.1 The office of the CORPORATION shall be established in Aizu Wakamatsu City.

Article Six
(Classification of the CORPORATION)
6.1 The CORPORATION shall be classified as a local independent administrative corporation other than designated local independent administrative corporations.

Article Seven
(Official Announcement)
7.1 Official announcement of the CORPORATION shall be made by placing articles on Fukushima Prefectural Government Newsletter. .

CHAPTER TWO
ORGANIZATIONS AND BUSINESS OPERATIONS

Section One
Executives and Personnel

Article Eight
(Executives)
8.1 The CORPORATION shall be provided with one Chairperson of the Board of Executives, one vice-chairperson, three or less regents and two or less auditors.

Article Nine
(Employment Duties and Authority of Executives)
9.1 The Chairperson of the Board of Executives shall represent the CORPORATION and execute business operation thereof.
9.2 The Chairperson of the Board of Executives shall refer matters for deliberation provided for in Article Sixteen to the Board of Executives, for decisions on matters provided for in Article Fourteen.
9.3 The vice-chairperson of the Board of Executives shall represent the CORPORATION, assist the Chairperson and manage business operation of the CORPORATION.
9.4 Should the Chairperson of the Board of Executives be unable to fulfill his/her duties, the vice-chairperson of the Board of Executives shall fulfill the duties on behalf of the Chairperson of the Board of Executives. Should the position of the Chairperson of the Board of Executives be vacated, the vice-chairperson of the Board of Executives shall fulfill the duties of the Chairperson during the period the position is vacant.
9.5 Regents shall assist the Chairperson and vice-chairperson of the Board of Executives and manage business operation of the CORPORATION.
9.6 Should the Chairperson and vice-chairperson of the Board of Executives be unable to fulfill their duties, regents shall fulfill the duties on behalf of the Chairperson and the vice-chairperson of the Board of Executives, in the order previously designated by the Chairperson of the Board of Executives. Should the position of the Chairperson and the vice-chairperson of the Board of Executives be vacated, a

regent previously designated by the Chairperson of the Board of Executives shall fulfill the duties of the Chairperson during the period the position is vacant.

9.7 Auditors shall audit business operation of the CORPORATION.

9.8 Auditors may submit opinions to the Chairperson of the Board of Executives or the Governor of Fukushima Prefecture (for the purpose of this statutes, hereinafter referred to as the "GOVERNOR") based on results of audits that have been conducted, if it is recognized doing so as necessary.

Article Ten
(Appointment and/or Dismissal, etc. of the Chairperson of the Board of Executives)

10.1 The Chairperson of the Board of Executives shall be appointed by the GOVERNOR based on a proposal submitted by the CORPORATION.

10.2 The Chairperson of the Board of Executives shall serve as the President of the UNIVERSITY and the UNIVERSITY Junior College Division established by the CORPORATION.

10.3 The proposal to be submitted to the GOVERNOR provided for in Paragraph One, Article Ten above shall be based on selection done by organization established within each UNIVERSITY for selection of the Chairperson of the Board of Executives (hereinafter referred to as "SELECTION COUNCIL") of the public university corporation, and the selected the Chairperson shall serve as the President of the UNIVERSITY (hereinafter referred to as "CHAIRPERSON OF THE BOARD OF EXECUTIVES TO BE APPOINTED AS THE UNIVERSITY PRESIDENT").

10.4 The SELECTION COUNCIL shall be a consultative organization with six members. Members of the SELECTION COUNCIL (for the purpose of this article, hereinafter referred to as "Members") shall be served by an equal number of individuals falling under Item (1) or (2).

(1) Individuals selected by Management Council provided for in Paragraph One, Article Seventeen, out of those provided for in Item Two to Item Four, Paragraph Two, Article Eighteen

(2) Individuals selected by Educational Council provided for in Paragraph One, Article Eighteen, out of those provided for in Item Two to Five, Paragraph Two in Article Nineteen

10.5 The position of the Chairperson shall be established within the SELECTION COUNCIL and shall be filled by the mutual election of the members within.

10.6 The Chairperson of the SELECTION COUNCIL shall preside over the SELECTION COUNCIL.

10.7 Dismissal of the CHAIRPERSON OF THE BOARD OF EXECUTIVES TO BE APPOINTED AS THE UNIVERSITY PRESIDENT shall be made by the GOVERNOR, based on proposal of the SELECTION COUNCIL.

10.8 The GOVERNOR can request the Chairperson of the SELECTION COUNCIL to refer a matter regarding dismissal of the CHAIRPERSON OF THE BOARD OF EXECUTIVES TO BE APPOINTED AS THE UNIVERSITY PRESIDENT, should the GOVERNOR recognize that the CHAIRPERSON OF THE BOARD OF EXECUTIVES TO BE APPOINTED AS THE UNIVERSITY PRESIDENT has

come under the provisions of Paragraph Two or Three, Article Seventeen of the LAW.

10.9 Should results of selection, etc. from the SELECTION COUNCILS established within the UNIVERSITY be inconsistent, a meeting organized of representatives selected from respective SELECTION COUNCILS (hereinafter referred to as the "REPRESENTATIVE COUNCIL") shall be established to conduct selection, etc.

10.10 Necessary matters regarding the REPRESENTATIVE COUNCIL shall be separately provided for based on consultation made by the Chairpersons of the SELECTION COUNCILS established within the UNIVERSITY.

10.11 Matters other than those provided for in Paragraph 10.4 to 10.11 above, and necessary matters regarding the CSELECTION COUNCIL, including procedures for the SELECTION COUNCIL meetings shall be provided for by the Chairperson of the SELECTION COUNCIL after consultation with the COUNCIL.

Article Eleven

(Appointment of Executives, etc. other than the Chairperson of the Board of Executives)

11.1 The Chairperson of the Board of Executives shall appoint the vice-chairperson and other members of the Board of Executives.

11.2 The GOVERNOR shall appoint auditors.

11.3 Upon appointment of the vice-chairperson and members of the Board of Executives, the Chairperson of the Board of Executives must make certain that people who are not currently executive members or personnel of the CORPORATION are included as members.

11.4 The GOVERNOR or the CHAIRPERSON OF THE BOARD OF EXECUTIVES may dismiss executives that were appointed based on the provisions provided for in Paragraph 11.1 and/or Paragraph 11.2 above, when s/he recognizes that reasons for dismissal of relevant executives fall under cases provided for in Item Two and Item Three, Paragraph Two in Article Seventeen of the LAW.

Article Twelve

(Term of Office for Executives, etc.)

12.1 The term of office of the CHAIRPERSON OF THE BOARD OF EXECUTIVES WHO WILL SERVE AS THE UNIVERSITY PRESIDENT shall be provided for in regulations concerning the CORPORATION, within a range of at least two years and not more than six years, based on a decision made by the Chairperson of the SELECTION COUNCIL.

12.2 The terms of office for the VICE CHAIRPERSON OF THE BOARD OF EXECUTIVES and regents shall be determined by the Chairperson of the Board of Executives, not exceeding a period of six years.

12.3 The term of office of auditors shall be two years. However, the term of office of those auditors initially selected to fill a vacancy shall be the remainder of the vacated term.

12.4 Executives can be reappointed. In this case, if a vice-chairperson, or auditor was not an executive member or personnel of the CORPORATION at the time of

his/her first appointment, Paragraph Three, Article Eleven above will be applicable, and the person shall not be recognized as an executive member or personnel of the CORPORATION at the time of reappointment.

Article Thirteen
(Appointment, etc. of University personnel)

13.1 University personnel shall be appointed by the Chairperson of the Board of Executives.

13.2 Types of positions, business operations, appointments and other matters related to personnel shall be provided for in the corporation regulations.

Section Two
Board of Executives

Article Fourteen
(Establishment and Organization of the Board of Executives)

14.1 The Board of Executives shall be established within the Corporation, and organized of the Chairperson, vice-chairperson and regents.

Article Fifteen
(Convocation of the Board of Executives)

15.1 The Board of Executives shall be convoked by the Chairperson of the Board of Executives, when the Chairperson recognizes doing so as necessary.

15.2 The Chairperson of the Board of Executives must convoke the Board of Executives, when the vice-chairperson, regents, or auditors request its convocation in writing, describing objective of the meeting.

Article Sixteen
(Matters for Deliberation)

16.1 Deliberations must be made by the Board of Executives on matters listed below.

(1) Opinions to be presented to the GOVERNOR regarding mid-term goals, and matters pertaining to annual plans
(2) Matters that require approval from the GOVERNOR based on provisions of the LAW
(3) Matters pertaining to drafting and execution of budgets, and settlement of accounts
(4) Matters pertaining to policies or criteria for personnel affairs and evaluation of personnel
(5) Matters pertaining to important policies, establishment, revision and/or abolishment of regulations
(6) Matters pertaining to establishment and abolition of a university, school, department and other organizations that are important to the University
(7) Important matters designated by the Board of Executives other than those stipulated above

16.2 When matters for deliberation by the Board of Executives are related to the

Management Council provided for in Paragraph 17.1 or the Education and Research Council provided for in Paragraph 18.1 below, the Board of Executives shall give due consideration to results of deliberation made by the Management Council or the Education and Research Council.

16.3 The position of the Chair shall be established within the Board of Executives and filled by the Chairperson of the Board of Executives.

16.4 The Chair of meetings shall preside over meetings of the Board of Executives.

16.5 Meetings of the Board of Executives shall be conducted only if a quorum of more than one half of the constituents is present.

16.6 Decisions to be made by the Board of Executives shall be rendered by approval of more than half of the constituents in attendance. However, when there are an equal number of members supporting both sides of an issue, the Chair of the meeting shall render the decision.

16.7 Auditors shall be eligible to state their opinions at meetings of the Board of Executives.

Section Three
Councils

Article Seventeen
(Management Council)

17.1 The Management Council shall be established within the CORPORATION as an organization for deliberation on important matters related to management of the CORPORATION.

17.2 The Management Council shall be constituted by ten members (for the purpose of this article, hereinafter referred to as the "MEMBERS") maximum as listed below;

(1) The CHAIRPERSON OF THE BOARD OF EXECUTIVES
(2) The VICE CHAIRPERSON OF THE BOARD OF EXECUTIVES
(3) Regents and personnel of the CORPORATION appointed by the CHAIRPERSON OF THE BOARD OF EXECUTIVES
(4) Individuals other than executives or personnel of the CORPORATION, appointed by the Chairperson of the Board of Executives from those with broad and highly specialized knowledge and aptitude regarding university administration

17.3 The number of MEMBERS falling under Item Four, Paragraph Two above shall be up to five, at least one half of the total number of MEMBERS.

17.4 The term of office of MEMBERS shall be two years. However, the term of office of MEMBERS who concurrently serve as executives shall be the same as that of the relevant executive positions.

17.5 The term of office of MEMBERS initially selected to fill a vacancy shall be the remainder of the vacated term.

17.6 MEMBERS can be reappointed.

17.7 The Management Council shall deliberate on each of matters stated below.

(1) Matters related to management of the CORPORATION out of those related to

opinions to be presented to the GOVERNOR regarding mid-term goals

(2) Matters related to management of the CORPORATION out of those pertaining to mid-term plans and annual plans

(3) Matters pertaining to establishment, revision and abolition of University regulations concerning management of the CORPORATION, criteria on remuneration for executives, criteria on provision of salaries and retirement allowances for faculty and administrative personnel, and other regulations related to management of the CORPORATION

(4) Matters pertaining to drafting and execution of budgets, and settlement of accounts

(5) Matters pertaining to self-check and evaluation of situations regarding organization and management

(6) Important matters related to management of the CORPORATION other than those listed above

17.8 The position of the Chair of meetings shall be established within the Management Council and be served by the Chairperson of the Board of Executives.

17.9 The Chair shall preside over meetings of the Management Council.

17.10 Meetings of the Management Council shall be conducted only if a quorum of more than one half of the constituents is present.

17.11 Decisions to be made by the Management Council shall be rendered by approval of more than one half of the constituents in attendance. However, when there are an equal number of members supporting both sides of an issue, the Chair of the meeting shall render the decision.

Article Eighteen
(Education and Research Council)

18.1 The Education and Research Council shall be established within each UNIVERSITY as an organization for deliberation on important matters related to education and research of the CORPORATION.

18.2 The Education and Research Council shall be constituted by fifteen members (for the purpose of this article, hereinafter referred to as the "MEMBERS") maximum as listed below;

(1) The UNIVERSITY PRESIDENT

(2) Directors, etc. of important organizations in terms of education and research including academic departments and graduate departments, etc. designated by the UNIVERSITY PRESIDENT.

(3) VICE CHAIRPERSON OF THE BOARD OF EXECUTIVES, regents and/or personnel of the CORPORATION appointed by the UNIVERSITY PRESIDENT

(4) VICE CHAIRPERSON OF THE BOARD OF EXECUTIVES, regents and/or personnel selected by the Education and Research Council and appointed by the UNIVERSITY PRESIDENT

(5) Individuals other than executives or personnel of the CORPORATION, appointed by the UNIVERSITY PRESIDENT after obtaining approval of the Education and Research Council, from those with broad and highly specialized

knowledge and aptitude regarding education and research conducted at universities

18.3 The number of MEMBERS falling under Item Four and Five, Article Nineteen above shall be three and two respectively.

18.4 The term of office of MEMBERS shall be two years. However, the term of office of MEMBERS who concurrently serve as executives and those falling under Item Two, Paragraph Two, above shall be the same as that of the relevant executive and/or director's positions.

18.5 The term of office of MEMBERS initially selected to fill a vacancy shall be the remainder of the vacated term.

18.6 MEMBERS can be reappointed.

18.7 The Management Council shall deliberate on each of matters stated below.

(1) Matters related to education and research conducted at the University out of those related to opinions to be presented to the GOVERNOR regarding mid-term goals

(2) Matters related to education and research conducted at the University out of those pertaining to mid-term plans and annual plans

(3) Matters pertaining to establishment, revision and abolition of University regulations concerning education and research conducted at the University

(4) Matters pertaining to policies and/or criteria related to personnel matters and evaluation regarding faculty

(5) Matters pertaining to policies regarding preparation of curricula

(6) Matters pertaining to advice and directions to encourage students to study and other support for students

(7) Matters pertaining to policies regarding enrollment of students including admission, graduation, completion of courses, etc. and policies regarding conferment of degrees

(8) Matters pertaining self-check and evaluation of situations regarding education and research

(9) Other important matters related to education and research of the University

18.8 The position of the Chair of meetings shall be established within the Management Council and be served by the UNIVERSITY PRESIDENT.

18.9 The Chair shall preside over meetings of the Management Council.

18.10 Meetings of the Management Council shall be conducted only if a quorum of more than one half of the constituents is present.

18.11 Decisions to be made by the Management Council shall be rendered by approval of more than one half of the constituents in attendance. However, when there are an equal number of members supporting both sides of an issue, the Chair of the meeting shall render the decision.

Section Four
Scope of Business Operation and Execution Thereof

Article Nineteen
(Scope of Business Operation of the CORPORATION)

19.1 The CORPORATION shall perform items of business operation and administration listed below.

(1) Establishment and management of the University

(2) Consultations regarding completion of studies, future paths, emotional and physical health, etc. and provision of other support to students

(3) Conducting research commissioned by and/or cooperatively with parties other than the CORPORATION, and other educational and research activities in cooperation with individuals other than the CORPORATION

(4) Offering of various opportunities for learning that will contribute to life-long learning in local communities

(5) Contribution to development of local communities through spreading achievements of education and research conducted at the CORPORATION and promotion of applications thereof

(6) Investment in individuals who carry out projects provided for in regulations of the public university corporation while they effectively use research achievements

(7) Performing business operation in conjunction with items listed above

Article Twenty
((Documents for Operation Methods)

20.1 Necessary matters pertaining to performance of business operation of the CORPORATION other then those provided for in the Statutes shall be provided for in the Documents for Operation Methods

CHAPTER THREE
CAPITAL FUNDS, INVESTIMENTS AND ASSETS

Article Twenty-One
(Capital funds, etc.)

22.1 With regard to capital funds for the CORPORATION, they shall be invested by the Government of Fukushima Prefecture with assets listed in the attached table. The amount of the said capital funds shall be the total sum of appraisals by the prefectural government based on values current as of the dates when relevant investments were made.

Article Twenty-Two
(Affiliation of Remaining Property Should the CORPORATION be Dissolved)

22.1 Should the CORPORATION be dissolved, property of the CORPORATION that is left over after paying off debts shall be assumed by the Government of Fukushima Prefecture.

CHAPTER FOUR
COMMISSIONING

Article Twenty-Three

23.1 Necessary matters pertaining to management of the CORPORATION other then those provided for in these Statutes and the Documents for Operation Methods shall be provided for in regulations of the CORPORATION.

ADDITIONAL PROVISIONS

(Date of Enforcement)

1 This Statute shall be enforced as of the date of establishment of the CORPORATION.

(Special Case pertaining to Appointment of the First CHAIRPERSON OF THE BOARD OF EXECUTIVES)

2 Notwithstanding the provisions provided for in Paragraph One, Article Ten above, an individual who serves as the first CHAIRPERSON OF THE BOARD OF EXECUTIVES after its establishment shall be appointed by the Governor of the Prefecture.

(Special Case pertaining to the Term of Office for the first CHAIRPERSON OF THE BOARD OF EXECUTIVES)

3 Notwithstanding the provisions provided for in Paragraph One, Article Twelve above, the term of office of the CHAIRPERSON OF THE BOARD OF EXECUTIVES WHO WILL SERVE AS THE FIRST UNIVERSITY PRESIDENT established by the CORPORATION after its establishment shall be two years.

(Special Case pertaining to the First Education and Research Council)

4 Notwithstanding the provisions provided for in Paragraph Two, Article Eighteen above, the first Education and Research Council after the establishment of the University shall be constituted by members stipulated in Items One to Three, Paragraph Two, Article Eighteen.

Attached Table 1 (omitted)

Attached Table 2 (omitted)